

ITERATIONS, STATIONARY REFLECTION AND PRIKRY-TYPE FORCINGS



האוניברסיטה העברית בירושלים
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This is based on a joint work with A. Rinot & D. Sinapova

- ① **[PRS1] Sigma-Prikry forcing I: The axioms**, Canadian Journal of Mathematics.
- ② **[PRS2] Sigma-Prikry forcing II: Iteration Scheme**, Journal of Mathematical Logic.
- ③ **[PRS3] Sigma-Prikry forcing III: Down to \aleph_ω** , Submitted on October 2021.

Find the papers here

<http://assafrinot.com/t/sigma-prikry>
<https://homepages.math.uic.edu/~sinapova/>

Two applications

The very first application of the Σ -Prikry framework:

Theorem (P., Rinot, Sinapova) ([PRS2])

Assume that $\langle \kappa_n \mid n < \omega \rangle$ is an increasing sequence of supercompact cardinals. Then there is a generic extension where $\kappa = \sup_{n < \omega} \kappa_n$ is a strong limit cardinal, SCH_κ fails and $\text{Refl}(\kappa^+)$ holds (actually, $\text{Refl}(<\omega, \kappa^+)$ holds).

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Theorem (P., Rinot, Sinapova) ([PRS3])

Assume that $\langle \kappa_n \mid n < \omega \rangle$ is an increasing sequence of supercompact cardinals. Then there is a generic extension of the set-theoretic universe where the following hold:

- 1 $2^{\aleph_n} = \aleph_{n+1}$ for all $n < \omega$;
- 2 $2^{\aleph_\omega} = \aleph_{\omega+2}$;
- 3 $\text{Refl}(\aleph_{\omega+1})$ holds.

Stationary Reflection

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“If a structure has property φ then there is a *small* substructure having property φ ”

In practice, **small** means “having cardinality $< \kappa$ ”, where κ is some relevant cardinal

Definition

Let κ be a regular uncountable cardinal.

- 1 A stationary set $S \subseteq \kappa$ **reflects** if there is $\alpha < \kappa$ with $\text{cf}(\alpha) > \omega$ such that $S \cap \alpha$ is stationary at α .
- 2 For a stationary set $S \subseteq \kappa$, $\text{Refl}(S)$ asserts that every stationary set $T \subseteq S$ reflects.

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We need to separate the discussion into three cases:

① **Limit cardinals:** Large-cardinal properties use to entail stationary reflection:

Theorem (Tarski (19__), Jensen (1972))

If κ is weakly compact then $\text{Refl}(\kappa)$ holds. Under $V = L$ this is an equivalence.

Theorem (Solovay) (19__)

If κ is supercompact then $\text{Refl}(\mu \cap \text{cf}(<\kappa))$ holds for every regular $\mu \geq \kappa$.

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Despite of this, one can force optimal reflection patterns:

Theorem (Harrington & Shelah) (NDJFL, 1985)

The following are equiconsistent:

- ▶ There is a Mahlo cardinal.
- ▶ $\text{Refl}(\kappa \cap \text{cf}(<\lambda))$ holds.

3 Successors of a singular:

Unlike successors of regulars here one can arrange full stationary reflection:

Theorem (Magidor) (JSL, 1982)

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Theorem (Hayut & Unger) (JSL, 2020)

The principle $\text{Refl}(\aleph_{\omega+1})$ is consistent relative to the existence of a cardinal κ which is κ^+ - Π_1^1 -subcompact.

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- 2 The failure of the SCH is consistent modulo large cardinals (Silver & Prikry);
- 3 The SCH can fail at the first singular cardinal.

Theorem (Magidor) (Ann. Math, 1977)

Assume there is a supercompact cardinal along with a huge cardinal on top. Then there is a generic extension where $\text{GCH}_{<\aleph_\omega}$ holds but $\text{SCH}_{\aleph_\omega}$ fails.

Tension between $\neg\text{SCH}_\kappa$ and $\text{Refl}(\kappa^+)$

General fact

Getting $\neg\text{SCH}$ usually involves singularizing cardinals, which entails \square -sequences (**Džamonja-Shelah** and **Gitik**). These latter are at odds with stationary reflection.

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Let us illustrate this tension by analysing the most basic example: The Prikry model.

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- 6 Use the κ -closure of $\langle \mathbb{P}, \leq^* \rangle$ to obtain some $A^* \in U$ such that

$$(s, A^*) \Vdash_{\mathbb{P}} "T_s \cap C \subseteq \dot{T} \cap \alpha".$$

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The above argument applies to fairly any Prikry-type forcing singularizing κ . Even more, it applies to any such forcing singularizing an interval of cardinals $[\kappa, \lambda]$.

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In particular, $\text{Refl}(\aleph_{\omega+1})$ fails in Magidor's model for $\neg\text{SCH}_{\aleph_\omega}$.

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Suggestion to get $\neg\text{SCH}_\kappa + \text{Refl}(\kappa^+)$

Start with a cardinal κ for which $\text{Refl}(\kappa^+)$ holds in the ground model: e.g., a cardinal κ that is the limit of an increasing sequence $\langle \kappa_n \mid n < \omega \rangle$ of supercompact cardinals.

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Assume $\langle \kappa_n \mid n < \omega \rangle$ is an increasing sequence of Laver-indestructible supercompact cardinals, and put $\kappa := \sup_{n < \omega} \kappa_n$. Then, in any generic extension by the EBPF

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- ▶ If κ_n is Laver-indestructible, $V^{\mathbb{P}_n} \models \text{Refl}(\kappa^+ \cap \text{cf}(<\kappa_n))$, hence \dot{T}_n reflects in $V^{\mathbb{P}_n}$.

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- ▶ If κ_n is Laver-indestructible, $V^{\mathbb{P}_n} \models \text{Refl}(\kappa^+ \cap \text{cf}(<\kappa_n))$, hence \dot{T}_n reflects in $V^{\mathbb{P}_n}$.
- ▶ As before, argue that some condition q forces “ \dot{T} reflects”.

Tension between $\neg\text{SCH}_\kappa$ and $\text{Refl}(\kappa^+)$

Yet again, the tension prevails:

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- 2 Prove that any such forcing yields the very same reflection pattern as the EBPF did;
- 3 Prove an iteration theorem for the class of Σ -Prikry forcings and devise an iteration aimed to kill all non-reflecting stationary sets $S \subseteq \kappa^+ \cap \text{cf}^V(\omega)$.

Σ -Prikrý forcings

Σ -Prikrý forcings in a nutshell

$\Sigma = \langle \kappa_n \mid n < \omega \rangle$ is non-decreasing seq. of regular uncountable cardinals. Set $\kappa := \sup(\Sigma)$.

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- 3 \mathbb{P} is a forcing poset such that:
 - ▶ For each $n < \omega$, \mathbb{P}_n (contains a dense subforcing that) is κ_n -directed-closed;
 - ▶ \mathbb{P} has the **Complete Prikrý Property**.

Complete Prikrý property

For each $p \in \mathbb{P}$, $n < \omega$ and a 0-open set $D \subseteq \mathbb{P}$ there is a condition $q \leq^0 p$ such that either $P_n^q \subseteq D$ or $P_n^q \cap D = \emptyset$ (Here, $P_n^q := \{r \leq q \mid \ell(r) = \ell(q) + n\}$).

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- 4 $\mathbb{P}(\mathcal{U})$ has the CPP.

Some other (more sophisticated) examples

- 1 Diagonal Prikry forcing;
- 2 Supercompact Prikry forcing;
- 3 Gitik-Sharon forcing;
- 4 AIM forcing;
- 5 **Extender Based Prikry forcing;**
- 6 Extender Based Prikry forcing with a single extender;
- 7 Supercompact Extender Based Prikry forcing;

Iterations of Σ -Prikrý forcings

Iterating Σ -Prikrý forcings

The philosophy of the Σ -Prikrý iterations

Let \mathbb{Q} be a Σ -Prikrý forcing along with a *problem* $\sigma \in V^{\mathbb{Q}}$. Want to find a Σ -Prikrý forcing \mathbb{A} that projects onto \mathbb{Q} and settles the problem raised by σ . Instead of $\mathbb{A} := \mathbb{Q} * \dot{\mathbb{P}}(\sigma)$ for a notion of forcing $\dot{\mathbb{P}}(\sigma)$ that solves σ , we will be doing something different.

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and for which there is a pair of maps $(\dot{\mu}, \pi)$ such that:

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Provided (1) & (2) of the above hold then \mathbb{A} is **not so far from being Σ -Prikrý**.

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- ▶ \mathbb{A} has a good chain condition: This is a consequence of the following:

$$c_{\mathbb{A}}(a) = c_{\mathbb{A}}(a') \implies \exists p \leq \pi(a), \pi(a') (\dot{\cap}(a)(p) = \dot{\cap}(a')(p)).$$

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- ▶ \mathbb{A} has the CPP: The crux of the matter is **Diagonalizability**:

For all $a \in \mathbb{A}$ and $D \subseteq \mathbb{A}$ dense open there is $a^* \triangleleft^0 a$ that “diagonalizes” D :

(\mathcal{D}) If some $b \triangleleft a^*$ is in D then $w(a^*, b) \in D$, as well

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This is achieved by:

- 1 Assuming that \mathbb{P} enjoys Diagonalizability;
- 2 Showing that the **forking projection** $(\dot{\cup}, \pi)$ has the Mixing Property;
(Allows to lift diagonalizability witnesses from \mathbb{P} to \mathbb{A})

A model for $\neg\text{SCH}_\kappa + \text{Refl}(\kappa^+)$

Towards a model of $\neg\text{SCH}_\kappa + \text{Refl}(\langle\omega, \kappa^+\rangle)$

Set up

- 1 Let $\Sigma := \langle \kappa_n \mid n < \omega \rangle$ be a strictly increasing sequence of Laver-indestructible supercompact cardinals κ_n . Put $\kappa := \sup(\Sigma)$;
- 2 Let \mathbb{P} be the Extender-Based Prikry forcing associated to Σ ;
- 3 Assuming $2^{2^\kappa} = \kappa^{++}$, we fix a bookkeeping function $\psi : \kappa^{++} \rightarrow H_{\kappa^{++}}$.

The first step: What stationary sets do reflect?

Proposition (P., Rinot & Sinapova) ([PRS1])

Let \mathbb{Q} be a Σ -Priky forcing not collapsing κ^+ . Then $V^{\mathbb{Q}} \models \text{Refl}(\langle \omega, \kappa^+ \cap \text{cf}^V(> \omega) \rangle)$.

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Define a Σ -Prikrý forcing iteration $\mathbb{P}_{\kappa^{++}}$ such that

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- 3 $\mathbb{P}_{\kappa^{++}}$ projects to \mathbb{P} .

If $\mathbb{P}_{\kappa^{++}}$ fulfills the above conditions it will yield the desired generic extension.

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- 3 \mathbb{P}_α is the $\leq \kappa$ -supported inverse limit of $\langle \mathbb{P}_\beta \mid \beta < \alpha \rangle$.

The above iteration scheme is successful

Fact

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Proof

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- 3 Essentially, by our assumption over the functors.

A model for $\neg\text{SCH}_{\aleph_\omega} + \text{Refl}(\aleph_{\omega+1})$

Failure of the SCH and reflection at the first singular cardinal

Two classical results of Magidor:

Theorem (Magidor) (Ann. Math, 1977) (\aleph_ω may be non-compact)

Assuming strong enough large cardinals there is a generic extension of the set-theoretic universe where $GCH_{<\aleph_\omega}$ holds but SCH_{\aleph_ω} fails.

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- 2 Make each κ_n indestructible under κ_n -directed-closed forcing that preserve the GCH.
- 3 Define a (Σ, \vec{S}) -Prikrý-style iteration $\langle \mathbb{P}_\alpha \mid \alpha \leq \kappa^{++} \rangle$ with $\leq \kappa$ support such that:
 - ▶ \mathbb{P}_1 is Gitik's EBPFC, which makes $\kappa = \aleph_\omega$ and $2^{\aleph_\omega} = \aleph_{\omega+2}$, and preserves $\text{GCH}_{<\aleph_\omega}$.
 - ▶ $\mathbb{P}_{\alpha+1} := \mathbb{A}(\mathbb{P}_\alpha, \dot{T})$ is the generalization of Sharon's functor killing the stationarity of \dot{T} .

The theorem

Theorem (P., Rinot & Sinapova) ([PRS3])

Assuming the consistency of infinitely many supercompact cardinals, there is a generic extension where $\text{GCH}_{<\aleph_\omega}$ holds, $\text{SCH}_{\aleph_\omega}$ fails and $\text{Refl}(\aleph_{\omega+1})$ holds.

Proof sketch:

- 1 Start with GCH and ω -many supercompact cardinals $\langle \kappa_n \mid n < \omega \rangle$.
- 2 Make each κ_n indestructible under κ_n -directed-closed forcing that preserve the GCH.
- 3 Define a (Σ, \vec{S}) -Prikrý-style iteration $\langle \mathbb{P}_\alpha \mid \alpha \leq \kappa^{++} \rangle$ with $\leq \kappa$ support such that:
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- 4 Show that this is **successful**: to wit, $\mathbb{P}_{\kappa^{++}}$ preserves all cardinals, is κ^{++} -cc and kills all non-reflecting stationary subsets of κ^+ .

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Key lemma in the non-collapsing scenario

Assume $\Sigma := \langle \kappa_n \mid n < \omega \rangle$ is a sequence of Laver-indestructible supercompact cardinals. If \mathbb{P} is a Σ -Prikrý forcing and \dot{T} is a \mathbb{P} -name for a non-reflecting stationary set then \dot{T} is **fragile** (hence, it can be killed in a Σ -Prikrý fashion).

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A key ingredient: For each $n < \omega$, $V^{\mathbb{P}_n} \models \text{Refl}(\kappa^+ \cap \text{cf}(\langle \kappa_n \rangle))$.

(But now $V^{\mathbb{P}_n}$ does not contain large cardinals!)

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- ▶ \mathbb{P}'_n is κ_{n-1}^+ -directed closed and GCH-preserving (hence preserve supercomp. of κ_{n-1});
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 - ▶ \mathbb{S}_n is the product of the first n -many Lévy collapses in Gitik's EBPFC;
- ② To prove reflection in $V^{\mathbb{P}_n}$ we need:
 - ▶ An outer elementary embedding $j: V^{\mathbb{Q}_n} \rightarrow N \subseteq V^{\mathbb{Q}_n * \dot{\mathbb{R}}_n}$;
 - ▶ A stationary-preservation lemma between $V^{\mathbb{Q}_n}$ and $V^{\mathbb{Q}_n * \dot{\mathbb{R}}_n}$;
 - ▶ A further stationary-preservation lemma between $V^{\mathbb{P}_n}$ and $V^{\mathbb{Q}_n}$.

Thank you very much for your attention!