# A COUNTEREXAMPLE RELATED TO A THEOREM OF KOMJÁTH AND WEISS 

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#### Abstract

In a paper from 1987, Komjáth and Weiss proved that for every regular topological space $X$ of character less than $\mathfrak{b}$, if $X \rightarrow(\text { top } \omega+1)_{\omega}^{1}$, then $X \rightarrow(\operatorname{top} \alpha)_{\omega}^{1}$ for all $\alpha<\omega_{1}$. In addition, assuming $\diamond$, they constructed a space $X$ of size continuum, of character $\mathfrak{b}$, satisfying $X \rightarrow(\text { top } \omega+1)_{\omega}^{1}$, but not $X \rightarrow\left(\operatorname{top} \omega^{2}+1\right)_{\omega}^{1}$. Here, a counterexample space with the same characteristics is obtained outright in ZFC.


## 1. Introduction

For two topological spaces $X, Y$ and a cardinal $\theta$, the arrow notation

$$
X \rightarrow(\operatorname{top} Y)_{\theta}^{1}
$$

asserts that for every coloring $c: X \rightarrow \theta$, there exists an homeomorphism $\phi$ from $Y$ to $X$ such that $c$ is constant over $\operatorname{Im}(\phi)$.

In [KW87], Komjáth and Weiss studied the partition relation $X \rightarrow(\operatorname{top} \alpha)_{\omega}^{1}$, where $\alpha$ is a countable ordinal endowed with the usual order topology. The first result of their paper is a pump-up theorem for regular topological spaces of character less than $\mathfrak{b} ;^{1}$ the theorem asserts that for any such space $X$, if $X \rightarrow(\operatorname{top} \omega+1)_{\omega}^{1}$, then moreover $X \rightarrow(\operatorname{top} \alpha)_{\omega}^{1}$ for all $\alpha<\omega_{1} .{ }^{2}$

To show that the bound $\mathfrak{b}$ cannot be improved, Theorem 4 of [KW87] gives an example, assuming $\diamond$, of a regular topological space $X$ of size and character $\aleph_{1}$ such that $X \rightarrow(\operatorname{top} \omega+1){ }_{\omega}^{1}$, but not $X \rightarrow\left(\operatorname{top} \omega^{2}+1\right){ }_{\omega}^{1}$. Question 2 of the same paper asks whether there is a ZFC example of a regular space $X$ satisfying $X \rightarrow(\operatorname{top} \omega+1){ }_{\omega}^{1}$ and failing $X \rightarrow(\operatorname{top} \alpha)_{\omega}^{1}$ for some countable ordinal $\alpha>\omega^{2}$. The first main result of this note answers this question in the affirmative.
Theorem A. There exists a zero-dimensional regular space $X$ of size continuum, of character $\mathfrak{b}$, satisfying $X \rightarrow(\operatorname{top} \omega+1)_{\omega}^{1}$, but not $X \rightarrow\left(\operatorname{top} \omega^{2}+1\right)_{1}^{1}$.

In [CFJ23], the Komjáth-Weiss counterexample was addressed from a different angle. There, a weakening of $\diamond$ called $\boldsymbol{\AA}_{F}$ was introduced and shown to be sufficient for the construction of the same $\aleph_{1}$-sized example. Furthermore, it is established there that $\boldsymbol{\phi}_{F}$ is consistent with the failure of CH. Here, we provide an alternative way to get an $\aleph_{1}$-sized countexample space together with a large continuum:

[^0]Theorem B. After forcing to add any number of Cohen reals, there exists a zerodimensional regular space $X$ of size $\aleph_{1}$, of character $\mathfrak{b}$, satisfying $X \rightarrow(\operatorname{top} \omega+1){ }_{\omega}^{1}$, but not $X \rightarrow\left(\text { top } \omega^{2}+1\right)_{1}^{1}$.

The preceding is a special case of a general theorem that identifies a class of notions of forcing that inevitably add consequences of higher analogs of $\boldsymbol{\mathscr { \varphi }}_{F}$. These notions of forcing include Cohen forcing, but also Prikry and Magidor forcing.
1.1. Notation and conventions. For a regular cardinal $\kappa$, we denote by $H_{\kappa}$ the collection of all sets of hereditary cardinality less than $\kappa . \quad E_{\chi}^{\kappa}$ denotes the set $\{\alpha<\kappa \mid \operatorname{cf}(\alpha)=\chi\}$, and $E_{\geq \chi}^{\kappa}, E_{<\chi}^{\kappa}, E_{\neq \chi}^{\kappa}$, etc. are defined analogously.

For a set of ordinals $a$, we write $\operatorname{ssup}(a):=\sup \{\alpha+1 \mid \alpha \in a\}, \operatorname{acc}^{+}(a):=\{\alpha<$ $\operatorname{ssup}(a) \mid \sup (a \cap \alpha)=\alpha>0\}, \operatorname{acc}(a):=a \cap \operatorname{acc}^{+}(a)$, and nacc $(a):=a \backslash \operatorname{acc}(a)$.

## 2. Topological spaces based on trees

Following [BR21], we say that $T$ is a streamlined tree iff there exists some cardinal $\kappa$ such that $T \subseteq{ }^{<\kappa} H_{\kappa}$ and, for all $t \in T$ and $\alpha<\operatorname{dom}(t), t \upharpoonright \alpha \in T$. For a subset $E \subseteq \kappa$, we let $T \upharpoonright E:=\{t \in T \mid \operatorname{dom}(t) \in E\}$. For a subset $T^{\prime} \subseteq T$, a ladder system over $T^{\prime}$ is a sequence $\vec{A}=\left\langle A_{t} \mid t \in T^{\prime}\right\rangle$ such that, for every $t \in T^{\prime}$, $A_{t}$ is a cofinal subset of $t_{\downarrow}:=\{s \in T \mid s \subsetneq t\}$ with otp $\left(A_{t}\right)=\operatorname{cf}(\operatorname{dom}(t))$. For every ladder system $\vec{A}=\left\langle A_{t} \mid t \in T^{\prime}\right\rangle$, we attach a symmetric relation $E_{\vec{A}} \subseteq[T]^{2}$, as follows:

$$
E_{\vec{A}}=\left\{\{s, t\} \mid t \in T^{\prime}, s \in A_{t}\right\} .
$$

Theorem 2.1. Suppose that:

- $T \subseteq{ }^{<\kappa} H_{\kappa}$ is a streamlined tree;
- $\vec{A}$ is a ladder system over $T^{\prime}=T \upharpoonright E_{\omega}^{\kappa}$;
- The graph $\left(T, E_{\vec{A}}\right)$ is uncountably chromatic.

Then there exists a zero-dimensional topology $\tau$ on $T$ such that $X:=(T, \tau)$ is a regular space of character $\mathfrak{b}$ satisfying $X \rightarrow(\operatorname{top}(\omega+1))_{\omega}^{1}$ and $X \rightarrow\left(\operatorname{top}\left(\omega^{2}+1\right)\right)_{1}^{1}$.

Proof. Let $\vec{A}=\left\langle A_{t} \mid t \in T^{\prime}\right\rangle$ denote the above ladder system. We now build another ladder system $\left\langle B_{t} \mid t \in T^{\prime}\right\rangle$ with the property that $A_{t} \cap T^{\prime} \subseteq B_{t} \subseteq T \upharpoonright\left(E_{1}^{\kappa} \cup E_{\omega}^{\kappa}\right)$ for all $t \in T^{\prime}$. To this end, for each $t \in T^{\prime}$, we consider three options:

- If $A_{t} \cap T^{\prime}$ is infinite, then let $B_{t}:=A_{t} \cap T^{\prime}$.
- If $A_{t} \cap T^{\prime}$ is finite, but $t \in T \upharpoonright\left(E_{\omega}^{\kappa} \cap \operatorname{acc}^{+}\left(E_{\omega}^{\kappa}\right)\right)$, then let $B_{t}$ be some cofinal subset of $t_{\downarrow} \cap E_{\omega}^{\kappa}$ of order-type $\omega$, with $A_{t} \cap T^{\prime} \subseteq B_{t}$.
- Otherwise, let $B_{t}$ be some cofinal subset of $t_{\downarrow}$ of order-type $\omega$ all of whose nodes $s$ with $\operatorname{cf}(\operatorname{dom}(s)) \neq 1$ are the ones from $A_{t} \cap T^{\prime}$.
Next, for every $t \in T$ and every $i<\omega$, we consider two cases depending on whether $B_{t}(i)$ - the $i^{\text {th }}$-element of $B_{t}$ - belongs to $T^{\prime}$ :
- If $B_{t}(i) \in T^{\prime}$, then let $\left\langle a_{t, i}(j) \mid j<\omega\right\rangle$ be a strictly increasing sequence of nodes converging to $B_{t}(i)$. We also require that $a_{t, i+1}(0)$ be bigger than $B_{t}(i)$ for all $i<\omega$.
- Otherwise, let $\left\langle a_{t, i}(j) \mid j<\omega\right\rangle$ be the constant sequence whose sole element is $B_{t}(i) \upharpoonright\left(\max \left(\operatorname{dom}\left(B_{t}(i)\right)\right)\right)$.


Figure 1: Illustration of the ladders assigned to a node $t \in T \upharpoonright E_{\omega}^{\kappa} \cap \operatorname{acc}^{+}\left(E_{\omega}^{\kappa}\right)$.
Claim 2.1.1. There exist a family $\mathcal{F} \subseteq{ }^{\omega} \omega$ of size $\mathfrak{b}$ such that:

- for every $A \in[\omega]^{\omega}$, for every function $g: A \rightarrow \omega$, there exists $f \in \mathcal{F}$ for which $\{n \in A \mid g(n) \leq f(n)\}$ is infinite;
- $\mathcal{F}$ is closed under pointwise maximum, i.e., for all $f, g \in \mathcal{F}$, the function $n \mapsto \max \{f(n), g(n)\}$ is in $\mathcal{F}$, as well.

Proof. This is well-known, but we include an argument anyway. By [Rin22, Proposition 2.4], $m_{f}(\omega, \omega, \omega, \omega)=\mathfrak{b}$, hence, we may fix a family $\mathcal{H}$ of functions from $\omega$ to $[\omega]^{<\omega}$ such that, for every $A \in[\omega]^{\omega}$, and every function $g: A \rightarrow \omega$, there exists $h \in \mathcal{H}$ for which $\{n \in A \mid g(n) \in h(n)\}$ is infinite. Now, let $\mathcal{F}$ denote the smallest subfamily of ${ }^{\omega} \omega$ that covers $\{\sup \circ h \mid h \in \mathcal{H}\}$ and that is closed under pointwise maximum. ${ }^{3}$ Clearly, $\mathcal{F}$ is as sought.

Let $\mathcal{F}$ be given by the claim. For all $s, t \in T$, denote $(s, t]:=\{x \in T \mid s \subseteq x \subsetneq t\}$. We shall now define a topology $\tau$ over $T$ by defining a system $\left\langle\mathcal{N}_{t} \mid t \in T\right\rangle$ of local bases. For every $t \in T \backslash T^{\prime}$, set $\mathcal{N}_{t}:=\{\{t\}\}$. For every $t \in T^{\prime}$, set $\mathcal{N}_{t}:=\left\{N_{t}(f, j) \mid\right.$ $f \in \mathcal{F}, j<\omega\}$, where

$$
N_{t}(f, j)=\{t\} \cup \biguplus\left\{\left(a_{t, i}(f(i)), B_{t}(i)\right] \mid j \leq i<\omega\right\}
$$

Since $\mathcal{F}$ is closed under pointwise maximum, $\mathcal{N}_{t}$ is indeed closed under intersections. In addition, for every element $s$ of a neighborhood $N_{t}(f, j)$, there exists $N \in \mathcal{N}_{s}$ with $N \subseteq N_{t}(f, j)$. Specifically:

- If $s \in T \backslash T^{\prime}$, then $N:=\{s\}$ does the job;
- If $s \in T^{\prime} \backslash\{t\}$, then there exists a unique $i \in \omega \backslash j$ such that $s \in$ $\left(a_{t, i}(f(i)), B_{t}(i)\right]$, and so by picking a large enough $k$ to satisfy $\left(a_{t, i}(f(i)) \subseteq\right.$ $B_{s}(k)$, we get that $N_{s}(g, k+1) \subseteq N_{t}(f, j)$ for any choice of $g \in \mathcal{F}$.
As $\bigcap \mathcal{N}_{t}=\{t\}$ for every $t \in T$, we altogether conclude that $X=(T, \tau)$ is a $\mathrm{T}_{1}$ topological space. As $\left|\mathcal{N}_{t}\right| \leq|\mathcal{F} \times \omega|=\mathfrak{b}$ for every $t \in T$, we get that $\chi(X) \leq \mathfrak{b}$. Since $X$ is $\mathrm{T}_{1}$, to show that $X$ is regular, it suffices to prove that the space $X$ is zero-dimensional.

Claim 2.1.2. Every $N \in \bigcup_{t \in T} \mathcal{N}_{t}$ is $\tau$-closed.
Proof. Let $t \in T^{\prime}, f \in \mathcal{F}, j<\omega$, and we shall show that that $N_{t}(f, j)$ is $\tau$-closed. To this end, let $s \in T \backslash N_{t}(f, j)$.

- If $s \notin T^{\prime}$, then $\{s\}$ is a neighborhood of $s$ disjoint from $N_{t}(f, j)$.
- If $s \in T^{\prime}$ and $s \subseteq B_{t}(0)$, then $N_{s}(g, 0)$ is readily disjoint from $N_{t}(f, j)$ for any choice of $g \in \mathcal{F}$.
- If $s \in T^{\prime}$ and $B_{t}(i) \subseteq s \subseteq B_{t}(i+1)$, then find a large enough $k<\omega$ such that $B_{t}(i) \subseteq B_{s}(k)$, and note that $N_{s}(g, k+1)$ is disjoint from $N_{t}(f, j)$ for any choice of $g \in \mathcal{F}$.

[^1]- If $s \in T^{\prime}$ and $s \notin t_{\downarrow}$, then $r:=s \cap t$ is an element of $T$ that constitutes the meet of $s$ and $t$. Find a large enough $k$ such that $r \subseteq B_{s}(k)$ and note that for any choice of $g \in \mathcal{F}, N_{s}(g, k+1)$ is disjoint from $t_{\downarrow}$, and hence from $N_{t}(f, j)$.

Claim 2.1.3. $X \rightarrow(\operatorname{top}(\omega+1))_{\omega}^{1}$.
Proof. Let $c: T \rightarrow \omega$ be a given a coloring. It suffices to find a $t \in T^{\prime}$ such that $\left\{s \in B_{T} \mid c(s)=c(t)\right\}$ is infinite. Towards a contradiction, suppose that $\left\{s \in B_{T} \mid\right.$ $c(s)=c(t)\}$ is finite for every $t \in T^{\prime}$. It follows that we may define a function $d: T \rightarrow \omega \times 2 \times \omega$ by recursion on the levels of $T$, as follows:
$d(t):= \begin{cases}\left\langle c(t), 1, \max \left\{0, n+1 \mid \exists s \in B_{t}[c(s)=c(t) \& d(s)=\langle c(s), 1, n\rangle]\right\}\right\rangle, & \text { if } t \in T^{\prime} \\ \langle c(t), 0,0\rangle, & \text { otherwise } .\end{cases}$
Recalling that $\left(T, E_{\vec{A}}\right)$ is uncountably chromatic, we may now find $\{s, t\} \in E_{\vec{A}}$ such that $d(s)=d(t)$. By possibly switching the roles of $s$ and $t$, we may assume that $t \in T^{\prime}$ and $s \in A_{t}$. As $t \in T^{\prime}$, it follows that $d(t)=(c(t), 1, m)$ for some $m<\omega$. As $d(s)=d(t)$, it follows that $c(s)=c(t)$ and $s \in T^{\prime}$, and hence $s \in B_{t}$. But then the definition of $d(t)$ implies that the third coordinate of $d(t)$ is bigger than the corresponding one of $d(s)$. This is a contradiction.

Claim 2.1.4. $X \nrightarrow\left(\operatorname{top}\left(\omega^{2}+1\right)\right)_{1}^{1}$.
Proof. Towards a contradiction, suppose that $\phi: \omega^{2}+1 \rightarrow X$ is an homeomorphism. For every $n<\omega$, since $\omega \cdot(n+1)$ is an accumulation point of the interval $A_{n}:=(\omega \cdot n, \omega \cdot(n+1))$, the singleton $\{\phi(\omega \cdot(n+1))\}$ cannot be $\tau$-open, so that the node $t_{n}:=\phi(\omega \cdot(n+1))$ must be in $T^{\prime}$ and $\phi\left[A_{n}\right]$ must contain an infinite sequence converging to $t_{n}$. Likewise, $\left\{t_{n} \mid n<\omega\right\}$ must contain an infinite sequence converging to the node $t_{\omega}:=\phi\left(\omega^{2}\right)$. It thus follows that there exists a strictly increasing and continuous map $\psi: \omega^{2}+1 \rightarrow \omega^{2}+1$ such that $\phi \circ \psi$ is a strictly increasing and continuous map from $\omega^{2}+1$ to $T$. For notational simplicity, we assume $\psi$ is the identity, so that $\left\langle t_{n} \mid n<\omega\right\rangle$ is a strictly increasing sequence of nodes in $T^{\prime}$ converging to $t_{\omega}$. In particular, $t_{\omega} \in T \upharpoonright\left(E_{\omega}^{\kappa} \cap \operatorname{acc}^{+}\left(E_{\omega}^{\kappa}\right)\right)$.

As $\operatorname{otp}\left(B_{t_{\omega}}\right)=\omega<\omega^{2}=\operatorname{otp}\left(\phi\left[\omega^{2}\right]\right)$, we may fix a map $d: \omega \rightarrow \phi\left[\omega^{2}\right] \backslash B_{t}$ such that $\langle d(n) \mid n<\omega\rangle$ is a strictly increasing increasing sequence of nodes converging to $t_{\omega}$. Consequently, the following set is infinite:

$$
A:=\left\{i \in \omega \backslash\{0\} \mid\left(B_{t}(i-1), B_{t}(i)\right] \text { has an element of } \operatorname{Im}(d)\right\} .
$$

It follows that for every $i \in A$, we may let

$$
m_{i}:=\max \left\{m<\omega \mid B_{t}(i-1) \subsetneq d(m) \subseteq B_{t}(i)\right\}
$$

Define a function $g: A \rightarrow \omega$ defined via

$$
g(i):=\min \left\{j<\omega \mid d\left(m_{n}\right) \subseteq a_{t, i}(j)\right\}
$$

Recalling that $\mathcal{F}$ was given by Claim 2.1.1, we now pick $f \in \mathcal{F}$ such that $I:=$ $\{n \in A \mid g(n) \leq f(n)\}$ is infinite. For every $i \in I$, it is the case that

$$
B_{t}(i-1) \subsetneq d\left(m_{i}\right) \subseteq a_{t, i}(g(i)) \subseteq a_{t, i}(f(i)) \subsetneq B_{t}(i)
$$

Therefore, for every node $s$ in the set $D:=\left\{d\left(m_{i}\right) \mid i \in I\right\}$, there exists an $i \in I$ such that $D \cap\left(B_{t}(i-1), B_{t}(i)\right]=\{s\}$. So $D$ is an infinite discrete subset of the compact set $\phi\left[\omega^{2}+1\right]$. This is a contradiction.

It now follows from [KW87, Theorem 1] that $\chi(X) \geq \mathfrak{b}$. Altogether, the space $X$ is as sought.

We are now ready to prove Theorem A.
Corollary 2.2. There exists a zero-dimensional regular space $X$ of size continuum, of character $\mathfrak{b}$, satisfying $X \rightarrow(\operatorname{top} \omega+1)_{\omega}^{1}$, but not $X \rightarrow\left(\operatorname{top} \omega^{2}+1\right)_{1}^{1}$.
Proof. By Theorem 2.1, it suffices to find a streamlined tree $T \subseteq{ }^{<\omega_{1}} \omega_{1}$ of size continuum, and a ladder system $\vec{A}$ over $T^{\prime}:=T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$ such that the graph $\left(T, E_{\vec{A}}\right)$ is uncountably chromatic. A tree with the same key features was constructed by D. Soukup in [Sou15, Theorem 3.5], though it was not streamlined. By abstract nonsense considerations (see [BR21, Lemma 2.5]), this should not make any difference. As the argument in [BR21] does not deal with the adjacent ladder system, we spell out the details in here.

Soukup's tree is the tree $T(S):=\left\{x \subseteq \omega_{1} \mid \operatorname{acc}^{+}(x) \subseteq x \subseteq S\right\}$ for an arbitrary choice of a stationary and co-stationary subset $S$ of $\omega_{1}$, ordered by the end-extension relation, $\sqsubseteq$. It comes equipped with a sequence $\vec{C}=\left\langle C_{x} \mid x \in T(S)\right\rangle$ such that $C_{x}$ is either a finite subset of $x_{\downarrow}$ or a cofinal subset of $x_{\downarrow}$ of order-type $\omega$. In addition, the corresponding graph $\left(T(S),\left\{\{y, x\} \mid x \in T(S), y \in C_{x}\right\}\right)$ is uncountably chromatic.

As $S$ is stationary, $T(S)$ contains infinite sets. As $S$ is co-stationary, every element of $T(S)$ is countable. Altogether $|T(S)|=2^{\aleph_{0}}$. As every $x \in T(S)$ is a closed countable set of countable ordinals, its corresponding collapsing map $\pi_{x}$ : $\operatorname{otp}(x) \rightarrow x$ is an element of $\bigcup_{\beta \in \operatorname{nacc}\left(\omega_{1}\right)}{ }^{\beta} \omega_{1}$. In addition, for every pair $x \sqsubset y$ of nodes in $T(S)$, it is the case that $\pi_{x} \subset \pi_{y}$. Thus, altogether,

$$
T:=\left\{\pi_{x} \upharpoonright \alpha \mid x \in T(S), \alpha<\omega_{1}\right\}
$$

is a streamlined tree satisfying:

- $x \mapsto \pi_{x}$ forms an order-isomorphism from $(T(S), \sqsubseteq)$ to $\left(T \upharpoonright \operatorname{nacc}\left(\omega_{1}\right), \subseteq\right)$;
- every element of $T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$ admits a unique immediate successor. ${ }^{4}$

We shall now define the ladder system $\vec{A}=\left\langle A_{t} \mid t \in T^{\prime}\right\rangle$, for $T^{\prime}:=T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$, as follows. Given $t \in T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$, let $x_{t}$ denote the unique element of $T(S)$ such that $\pi_{x_{t}}$ is the immediate successor of $t$. Now consider the following possibilities:

- If $\left|C_{x_{t}}\right|<\aleph_{0}$, then let $A_{t}$ be an arbitrary cofinal subset of $t_{\downarrow}$ of order-type $\omega$.
- Otherwise, $C_{x_{t}}$ is a cofinal subset of $\left(x_{t}\right)_{\downarrow}$ of order-type $\omega$, and hence

$$
A_{t}:=\left\{\pi_{y} \upharpoonright \sup (\operatorname{otp}(y)) \mid y \in C_{x_{t}}\right\}
$$

is a cofinal subset of $t_{\downarrow}$ of order-type $\omega$.
Claim 2.2.1. The graph $\left(T, E_{\vec{A}}\right)$ is uncountably chromatic.
Proof. Let $c: T \rightarrow \omega$ be given, and we shall find $s \subset t$ such that $c(s)=c(t)$.
As in the proof of Claim 2.1.3, by recursion on the levels of the tree we may construct a coloring $d: T(S) \rightarrow \omega$ satisfying the following for every $x \in T(S)$ :
(1) If $C_{x}$ is finite, then $d(x)$ is an odd positive integer that does not belong to $\left\{d(y) \mid y \in C_{x}\right\} ;$
(2) If $C_{x}$ is infinite, then $d(x)=c\left(\pi_{x} \upharpoonright \sup (\operatorname{otp}(x))\right) \cdot 2$.

As the graph $\left(T(S),\left\{\{y, x\} \mid x \in T(S), y \in C_{x}\right\}\right)$ is uncountably chromatic, we now pick a pair $y \sqsubset x$ of nodes in $T(S)$ such that $d(y)=d(x)$. Denote:

[^2]- $t:=\pi_{x} \upharpoonright \sup (\operatorname{otp}(x))$, and
- $s:=\pi_{y} \upharpoonright \sup (\operatorname{otp}(y))$.

As $d(x)=d(y)$, by the choice of $d, C_{x}$ cannot be finite, so the only other option is that $C_{x}$ is a cofinal subset of $x_{\downarrow}$ of order-type $\omega$. In particular, $x_{\downarrow}$ cannot have a maximal element, and hence $\operatorname{otp}(x)=\alpha+1$ for some $\alpha \in \operatorname{acc}\left(\omega_{1}\right)$. Therefore, $\pi_{x}$ is an immediate successor of the above node $t$, so that $t \in T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$ and $x_{t}=x$. It thus follows from the definition of $A_{t}$ that $s \in A_{t}$.

Finally, as $C_{x}$ is not finite, $d(x)=c(t) \cdot 2$. From $d(y)=d(x)$ being even, we then infer that $d(y)=c(s) \cdot 2$. Altogether, $c(s)=c(t)$, as sought.

This completes the proof.

## 3. Forcing highly chromatic Hajnal-Máté graphs

A Hajnal-Máté graph is a graph of the form $G=(\kappa, E)$, where $\kappa$ is a cardinal, $E$ is a subset of $[\kappa]^{2}$, and for every pair $\beta<\gamma$ of ordinals from $\kappa$, $\sup \{\alpha<\beta \mid$ $\{\beta, \gamma\} \in E\}<\beta$. The existence of an uncountably chromatic Hajnal-Máté graph over $\omega_{1}$ gives rise to a tree $T$ and a ladder system $\vec{A}$ satisfying the hypotheses of Theorem 2.1 by identifying $\omega_{1}$ with the streamlined tree $T:={ }^{<} \omega_{1} 1$.

In this section, we highlight a class of notions of forcing that inevitably add highly chromatic Hajnal-Máté graphs.

Definition 3.1. Let $\mathbb{P}=(P, \leq)$ denote a notion of forcing, and $\lambda$ denote an infinite regular cardinal.

- $\mathbb{P}$ is ${ }^{\lambda} \lambda$-bounding iff for every $g \in{ }^{\lambda} \lambda \cap V^{\mathbb{P}}$, there exists some $f \in{ }^{\lambda} \lambda \cap V$ such that $g(\alpha) \leq f(\alpha)$ for all $\alpha<\lambda$;
- $\mathbb{P}$ satisfies the $\lambda^{+}$-stationary chain condition $\left(\lambda^{+}\right.$-stationary-cc, for short) iff for every sequence $\left\langle p_{\delta} \mid \delta<\lambda^{+}\right\rangle$of conditions in $\mathbb{P}$ there are a club $D \subseteq \lambda^{+}$ and a regressive map $h: D \cap E_{\lambda}^{\lambda^{+}} \rightarrow \lambda^{+}$such that for all $\gamma, \delta \in \operatorname{dom}(h)$, if $h(\gamma)=h(\delta)$, then $p_{\gamma}$ and $p_{\delta}$ are compatible.

Theorem 3.2. Suppose that $\lambda$ is an infinite regular cardinal, and $\mathbb{P}$ is a $\lambda^{+}$_ stationary-cc notion of forcing satisfying at least one of the following:
(1) $\mathbb{P}$ preserves the regularity of $\lambda$, and is not ${ }^{\lambda} \lambda$-bounding;
(2) $\mathbb{P}$ forces that $\operatorname{cf}(\lambda)<|\lambda|$. In addition, $\operatorname{cf}\left(\mathrm{NS}_{\lambda}, \subseteq\right)=\lambda^{+}$;
(3) In $V^{\mathbb{P}}$, there exists a cofinal subset $\Lambda \subseteq \lambda$ such that for every function $f \in{ }^{\lambda} \lambda \cap V$, there exists some $\xi \in \Lambda$ with $f(\xi)<\min (\Lambda \backslash(\xi+1))$.
Then, in $V^{\mathbb{P}}$, there exists a sequence $\left\langle C_{\delta} \mid \delta \in E_{\lambda}^{\lambda^{+}}\right\rangle$satisfying the following:

- For every $\delta \in E_{\lambda}^{\lambda^{+}}, C_{\delta}$ is a club in $\delta$ of order-type $\lambda$;
- For every coloring $c: E_{\lambda}^{\lambda^{+}} \rightarrow \lambda$, there are $\gamma, \delta \in E_{\lambda}^{\lambda^{+}}$such that $\gamma \in C_{\delta}$ and $c(\gamma)=c(\delta)$.

Proof. By [BR19, Proposition 3.1], Clause (3) follows from Clauses (1) and (2), so hereafter, we shall assume Clause (3).

Work in $V$. Write $\Delta:=E_{\lambda}^{\lambda^{+}}$. For each $\delta \in \Delta$, let $\pi_{\delta}: \lambda \rightarrow \delta$ denote the inverse collapse of some club in $\delta$, and let $\psi_{\delta}: \lambda \leftrightarrow \delta$ be some bijection.

Next, let $G$ be $\mathbb{P}$-generic over $V$, and work in $V[G]$. By Clause (3) and the proof of [BR19, Lemma 3.2], we may fix a club $\Lambda \subseteq \lambda$ of order-type $\operatorname{cf}(\lambda)$, such that for every function $f \in{ }^{\lambda} \lambda \cap V, \sup \{\xi \in \Lambda \mid f(\xi)<\min (\Lambda \backslash(\xi+1))\}=\lambda$.

Let $\delta \in \Delta$. Clearly, $B_{\delta}:=\pi_{\delta}[\Lambda]$ is a club in $\delta$ of order-type $\operatorname{cf}(\lambda)$. Next, let $C_{\delta}$ be the ordinal closure below $\delta$ of the following set

$$
B_{\delta} \cup \bigcup\left\{\psi_{\delta}\left[\alpha^{+}\right] \cap\left(\pi_{\delta}(\alpha), \pi_{\delta}\left(\alpha^{+}\right)\right) \mid \alpha \in \Lambda \& \alpha^{+}=\min (\Lambda \backslash(\alpha+1))\right\}
$$

Note that, for every pair $\beta<\beta^{+}$of successive elements of $\pi_{\delta}[\Lambda], C_{\delta} \cap\left(\beta, \beta^{+}\right)$is covered by the closure of $\psi_{\delta}\left[\operatorname{otp}\left(\Lambda \cap \beta^{+}\right)\right]$, which is a set of size $<\lambda$. Therefore, $\operatorname{otp}\left(C_{\delta}\right) \leq \lambda$.

Claim 3.2.1. For every $\Gamma \in\left[\lambda^{+}\right]^{\lambda^{+}}$from $V$, for every $\delta \in \operatorname{acc}^{+}(\Gamma) \cap \Delta$, it is the case that $\sup \left(C_{\delta} \cap \Gamma\right)=\delta$.

Proof. Let $\Gamma \in\left[\lambda^{+}\right]^{\lambda^{+}}$in $V$. Let $\delta \in \Delta \cap \operatorname{acc}^{+}(\Gamma)$ and $\epsilon<\delta$; we shall find $\gamma \in \Gamma \cap C_{\delta}$ above $\epsilon$. As $\delta \in \operatorname{acc}^{+}(\Gamma)$, we may define a function $f_{0}: \lambda \rightarrow \lambda$ via

$$
f_{0}(\alpha):=\min \left\{\alpha^{\prime}<\lambda \mid\left(\pi_{\delta}(\alpha), \pi_{\delta}\left(\alpha^{\prime}\right)\right) \cap \Gamma \neq \emptyset\right\}
$$

Then, we may define a function $f_{1}: \lambda \rightarrow \lambda$ via:

$$
f_{1}(\alpha):=\min \left\{i<\lambda \mid \psi_{\delta}(i) \in\left(\pi_{\delta}(\alpha), \pi_{\delta}\left(f_{0}(\alpha)\right)\right) \cap \Gamma\right\}
$$

Define $f: \lambda \rightarrow \lambda$ via $f(\alpha):=\max \left\{f_{0}(\alpha), f_{1}(\alpha)\right\}$. As $\Gamma \in V$, the function $f$ is in ${ }^{\lambda} \lambda \cap V$, and hence $A:=\{\xi \in \Lambda \mid f(\xi)<\min (\Lambda \backslash(\xi+1))\}$ is cofinal in $\lambda$. Pick a large enough $\alpha \in A$ such that $\pi_{\delta}(\alpha) \geq \epsilon$. Denote $\alpha^{+}:=\min (\Lambda \backslash(\alpha+1))$. Then $\alpha^{\prime}:=f_{0}(\alpha)$ and $i:=f_{1}(\alpha)$ are both less than $<\alpha^{+}$. So

$$
\psi_{\delta}(i) \in \psi_{\delta}\left[\alpha^{+}\right] \cap\left(\pi_{\delta}(\alpha), \pi_{\delta}\left(\alpha^{+}\right)\right) \cap \Gamma
$$

meaning that $\psi_{\delta}(i)$ is an element of $C_{\delta} \cap \Gamma$ above $\epsilon$.
Work in $V$. Suppose that $p$ is a condition forcing that $\dot{c}$ is a name for a function from $\Delta$ to $\lambda$. For each $\delta \in \Delta$, let $p_{\delta}$ be a condition extending $p$ and deciding $\dot{c}(\delta)$ to be, say, $\tau_{\delta}$. Fix a club $D \subseteq \lambda^{+}$and a regressive map $h: D \cap E_{\lambda}^{\lambda^{+}} \rightarrow \lambda^{+}$such that for all $\gamma, \delta \in \operatorname{dom}(h)$, if $h(\gamma)=h(\delta)$ then $p_{\gamma}$ and $p_{\delta}$ are compatible.

Find $(\tau, \eta) \in \lambda \times \lambda^{+}$for which

$$
\Gamma:=\left\{\delta \in \Delta \cap D \mid \tau_{\delta}=\tau \& h(\delta)=\eta\right\}
$$

is stationary. As $\operatorname{acc}^{+}(\Gamma)$ is a club (in $V$ ), Claim 3.2.1 provides us with a $\delta \in \Gamma$ such that $\sup \left(C_{\delta} \cap \Gamma\right)=\delta$. Pick $\gamma \in C_{\delta} \cap \Gamma$. As $h(\delta)=\eta=h(\gamma)$, we may pick some $q$ extending $p_{\delta}$ and $p_{\gamma}$. Then, $q$ is an extension of $p$ forcing that $\gamma, \delta \in \Delta$ and $c(\gamma)=\tau=c(\delta)$.

Corollary 3.3. If $\lambda$ is a measurable cardinal, then in the forcing extension by Prikry forcing using a normal measure on $\lambda$, there exists a Hajnal-Máté graph over $\lambda^{+}$of chromatic number $\lambda^{+}$.

Corollary 3.4. After forcing to add any number of Cohen reals, there is an uncountably chromatic Hajnal-Máté graph over $\omega_{1}$.

Putting the preceding together with Theorem 2.1, we obtain Theorem B:
Corollary 3.5. After forcing to add any number of Cohen reals, there exists a zero-dimensional regular space $X$ of size $\aleph_{1}$, of character $\mathfrak{b}$, satisfying $X \rightarrow$ $($ top $\omega+1){ }_{\omega}^{1}$, but not $X \rightarrow\left(\operatorname{top} \omega^{2}+1\right)_{1}^{1}$.

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    ${ }^{1}$ Recall that $\mathfrak{b}$ denotes the least size of an unbounded submfaily of ${ }^{\omega} \omega$, where a subfamily $\mathcal{F} \subseteq{ }^{\omega} \omega$ is bounded if, for some function $g: \omega \rightarrow \omega,\{n<\omega \mid f(n) \leq g(n)\}$ is finite for all $f \in \mathcal{F}$.
    ${ }^{2}$ The published proof had a small gap that was later rectified in [CFJ23] based on a suggestion of Weiss.

[^1]:    ${ }^{3}$ We use sup instead of max, since $\sup (x)$ is meaningful for any set $x$, including $x=\emptyset$.

[^2]:    ${ }^{4}$ Indeed, the immediate successor of a node $t \in T \upharpoonright \operatorname{acc}\left(\omega_{1}\right)$ is $\pi_{x}$ for $x:=\operatorname{Im}(t) \cup\{\sup (\operatorname{Im}(t))\}$.

