

A COUNTEREXAMPLE RELATED TO A THEOREM OF KOMJÁTH AND WEISS

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This paper is dedicated to István Juhász on the occasion of his 80th birthday

ABSTRACT. In a paper from 1987, Komjáth and Weiss proved that for every regular topological space X of character less than \mathfrak{b} , if $X \rightarrow (\text{top } \omega + 1)_\omega^1$, then $X \rightarrow (\text{top } \alpha)_\omega^1$ for all $\alpha < \omega_1$. In addition, assuming \diamond , they constructed a space X of size continuum, of character \mathfrak{b} , satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_\omega^1$. Here, a counterexample space with the same characteristics is obtained outright in ZFC.

1. INTRODUCTION

For two topological spaces X, Y and a cardinal θ , the arrow notation

$$X \rightarrow (\text{top } Y)_\theta^1$$

asserts that for every coloring $c : X \rightarrow \theta$, there exists a homeomorphism ϕ from Y to X such that c is constant over $\text{Im}(\phi)$.

In [KW87], Komjáth and Weiss studied the partition relation $X \rightarrow (\text{top } \alpha)_\omega^1$, where α is a countable ordinal endowed with the usual order topology. The first result of their paper is a pump-up theorem for regular topological spaces of character less than \mathfrak{b} ;¹ the theorem asserts that for any such space X , if $X \rightarrow (\text{top } \omega + 1)_\omega^1$, then moreover $X \rightarrow (\text{top } \alpha)_\omega^1$ for all $\alpha < \omega_1$.²

To show that the bound \mathfrak{b} cannot be improved, Theorem 4 of [KW87] gives an example, assuming \diamond , of a regular topological space X of size and character \aleph_1 such that $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_\omega^1$. Question 2 of the same paper asks whether there is a ZFC example of a regular space X satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$ and failing $X \rightarrow (\text{top } \alpha)_\omega^1$ for some countable ordinal $\alpha > \omega^2$. The first main result of this note answers this question in the affirmative.

Theorem A. *There exists a zero-dimensional regular space X of size continuum, of character \mathfrak{b} , satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_\omega^1$.*

In [CFJ23], the Komjáth-Weiss counterexample was addressed from a different angle. There, a weakening of \diamond called \clubsuit_F was introduced and shown to be sufficient for the construction of the same \aleph_1 -sized example. Furthermore, it is established

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¹The *character* of a space X , denoted $\chi(X)$, is the least infinite cardinal κ such that every $x \in X$ has a local base of size no more than κ . \mathfrak{b} denotes the least size of an unbounded subfamily of ${}^\omega\omega$, where a subfamily $\mathcal{F} \subseteq {}^\omega\omega$ is *bounded* iff there exists a function $g : \omega \rightarrow \omega$ such that $\{n < \omega \mid f(n) > g(n)\}$ is finite for all $f \in \mathcal{F}$.

²The published proof had a small gap that was later rectified in [CFJ23] based on a suggestion of Weiss.

there that \clubsuit_F is consistent with the failure of CH. Here, we provide an alternative way to get an \aleph_1 -sized counterexample space together with a large continuum:

Theorem B. *After forcing to add any number of Cohen reals, there exists a zero-dimensional regular space X of size \aleph_1 , of character \mathfrak{b} , satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_1^1$.*

The preceding is a special case of a general theorem that identifies a class of notions of forcing that inevitably add consequences of higher analogs of \clubsuit_F . These notions of forcing include Cohen forcing, but also Prikry and Magidor forcing.

1.1. Notation and conventions. For a regular cardinal κ , we denote by H_κ the collection of all sets of hereditary cardinality less than κ . E_χ^κ denotes the set $\{\alpha < \kappa \mid \text{cf}(\alpha) = \chi\}$, and $E_{\geq \chi}^\kappa$, $E_{< \chi}^\kappa$, $E_{\neq \chi}^\kappa$, etc. are defined analogously.

For a set of ordinals a , we write $\text{ssup}(a) := \sup\{\alpha + 1 \mid \alpha \in a\}$, $\text{acc}^+(a) := \{\alpha < \text{ssup}(a) \mid \sup(a \cap \alpha) = \alpha > 0\}$, $\text{acc}(a) := a \cap \text{acc}^+(a)$, and $\text{nacc}(a) := a \setminus \text{acc}(a)$.

2. TOPOLOGICAL SPACES BASED ON TREES

Following [BR21], we say that T is a *streamlined tree* iff there exists some cardinal κ such that $T \subseteq {}^{<\kappa}H_\kappa$ and, for all $t \in T$ and $\alpha < \text{dom}(t)$, $t \upharpoonright \alpha \in T$. For a subset $\Sigma \subseteq \kappa$, we let $T \upharpoonright \Sigma := \{t \in T \mid \text{dom}(t) \in \Sigma\}$. For a subset $T' \subseteq T$, a *ladder system* over T' is a sequence $\vec{A} = \langle A_t \mid t \in T' \rangle$ such that, for every $t \in T'$, A_t is a cofinal subset of $t_\downarrow := \{s \in T \mid s \subsetneq t\}$ with $\text{otp}(A_t) = \text{cf}(\text{dom}(t))$. For every ladder system $\vec{A} = \langle A_t \mid t \in T' \rangle$, we attach a symmetric relation $E_{\vec{A}} \subseteq [T]^2$, as follows:

$$E_{\vec{A}} = \{\{s, t\} \mid t \in T', s \in A_t\}.$$

Theorem 2.1. *Suppose that:*

- $T \subseteq {}^{<\kappa}H_\kappa$ is a streamlined tree;
- \vec{A} is a ladder system over $T' := T \upharpoonright E_\omega^\kappa$;
- The graph $(T, E_{\vec{A}})$ is uncountably chromatic.

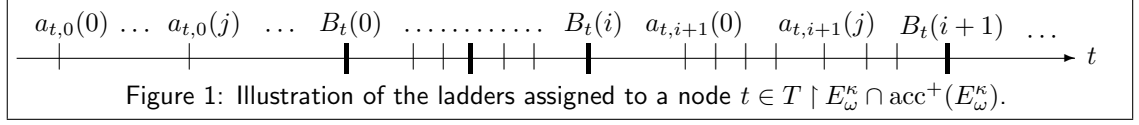
Then there exists a zero-dimensional topology τ on T such that $X := (T, \tau)$ is a regular space of character \mathfrak{b} satisfying $X \rightarrow (\text{top } (\omega + 1))_\omega^1$ and $X \not\rightarrow (\text{top } (\omega^2 + 1))_1^1$.

Proof. Let $\vec{A} = \langle A_t \mid t \in T' \rangle$ denote the above ladder system. We now build another ladder system $\langle B_t \mid t \in T' \rangle$ with the property that $A_t \cap T' \subseteq B_t \subseteq T \upharpoonright (E_1^\kappa \cup E_\omega^\kappa)$ for all $t \in T'$. To this end, for each $t \in T'$, we consider three options:

- If $A_t \cap T'$ is infinite, then let $B_t := A_t \cap T'$.
- If $A_t \cap T'$ is finite, but $t \in T \upharpoonright (E_\omega^\kappa \cap \text{acc}^+(E_\omega^\kappa))$, then let B_t be some cofinal subset of $t_\downarrow \cap (T \upharpoonright E_\omega^\kappa)$ of order-type ω , with $A_t \cap T' \subseteq B_t$.
- Otherwise, let B_t be some cofinal subset of t_\downarrow of order-type ω all of whose nodes s with $\text{cf}(\text{dom}(s)) \neq 1$ are the ones from $A_t \cap T'$.

Next, for every $t \in T$ and every $i < \omega$, we consider two cases depending on whether $B_t(i)$ — the i^{th} -element of B_t — belongs to T' :

- If $B_t(i) \in T'$, then let $\langle a_{t,i}(j) \mid j < \omega \rangle$ be a strictly increasing sequence of nodes converging to $B_t(i)$. We also require that $a_{t,i+1}(0)$ be bigger than $B_t(i)$ for all $i < \omega$.
- Otherwise, let $\langle a_{t,i}(j) \mid j < \omega \rangle$ be the constant sequence whose sole element is $B_t(i) \upharpoonright (\max(\text{dom}(B_t(i))))$.



Claim 2.1.1. *There exists a family $\mathcal{F} \subseteq {}^\omega\omega$ of size \mathfrak{b} such that:*

- for every $A \in [\omega]^\omega$, for every function $g : A \rightarrow \omega$, there exists $f \in \mathcal{F}$ for which $\{n \in A \mid g(n) \leq f(n)\}$ is infinite;
- \mathcal{F} is closed under pointwise maximum, i.e., for all $f, g \in \mathcal{F}$, the function $n \mapsto \max\{f(n), g(n)\}$ is in \mathcal{F} , as well.

Proof. This is well-known, but we include an argument anyway. By [Rin22, Proposition 2.4], $m_f(\omega, \omega, \omega, \omega) = \mathfrak{b}$, hence, we may fix a family \mathcal{H} of functions from ω to $[\omega]^{<\omega}$ such that, for every $A \in [\omega]^\omega$, and every function $g : A \rightarrow \omega$, there exists $h \in \mathcal{H}$ for which $\{n \in A \mid g(n) \in h(n)\}$ is infinite. Now, let \mathcal{F} denote the smallest subfamily of ${}^\omega\omega$ to satisfy:

- $\{\text{sup} \circ h \mid h \in \mathcal{H}\} \subseteq \mathcal{F}$,³ and
- \mathcal{F} is closed under pointwise maximum.

Clearly, \mathcal{F} is as sought. \square

Let \mathcal{F} be given by the claim. For all $s, t \in T$, denote $(s, t] := \{x \in T \mid s \subseteq x \subsetneq t\}$. We shall now define a topology τ over T by defining a system $\langle \mathcal{N}_t \mid t \in T \rangle$ of local bases. For every $t \in T \setminus T'$, set $\mathcal{N}_t := \{\{t\}\}$. For every $t \in T'$, set $\mathcal{N}_t := \{N_t(f, j) \mid f \in \mathcal{F}, j < \omega\}$, where

$$N_t(f, j) = \{t\} \cup \bigcup \{(a_{t,i}(f(i)), B_t(i)) \mid j \leq i < \omega\}.$$

Since \mathcal{F} is closed under pointwise maximum, \mathcal{N}_t is indeed closed under finite intersections. In addition, for every element s of a neighborhood $N_t(f, j)$, there exists $N \in \mathcal{N}_s$ with $N \subseteq N_t(f, j)$. Indeed:

- If $s \in T \setminus T'$, then $N := \{s\}$ does the job;
- If $s \in T' \setminus \{t\}$, then there exists a unique $i \in \omega \setminus j$ such that $s \in (a_{t,i}(f(i)), B_t(i)]$, and so by picking a large enough k to satisfy $(a_{t,i}(f(i)) \subseteq B_s(k)$, we get that $N_s(g, k+1) \subseteq N_t(f, j)$ for any choice of $g \in \mathcal{F}$.

As $\bigcap \mathcal{N}_t = \{t\}$ for every $t \in T$, we altogether conclude that $X = (T, \tau)$ is a T_1 topological space. As $|\mathcal{N}_t| \leq |\mathcal{F} \times \omega| = \mathfrak{b}$ for every $t \in T$, we get that $\chi(X) \leq \mathfrak{b}$. Since X is T_1 , to show that X is regular, it suffices to prove that the space X is zero-dimensional.

Claim 2.1.2. *Every $N \in \bigcup_{t \in T} \mathcal{N}_t$ is τ -closed.*

Proof. Let $t \in T'$, $f \in \mathcal{F}$, $j < \omega$, and we shall show that $N_t(f, j)$ is τ -closed. To this end, let $s \in T \setminus N_t(f, j)$.

- ▶ If $s \notin T'$, then $\{s\}$ is a neighborhood of s disjoint from $N_t(f, j)$.
- ▶ If $s \in T'$ and $s \subseteq B_t(0)$, then $N_s(g, 0)$ is readily disjoint from $N_t(f, j)$ for any choice of $g \in \mathcal{F}$.
- ▶ If $s \in T'$ and $B_t(i) \subseteq s \subseteq B_t(i+1)$, then find a large enough $k < \omega$ such that $B_t(i) \subseteq B_s(k)$, and note that $N_s(g, k+1)$ is disjoint from $N_t(f, j)$ for any choice of $g \in \mathcal{F}$.

³We use sup instead of max , since $\text{sup}(x)$ is meaningful for any set x , including $x = \emptyset$.

► If $s \in T'$ and $s \notin t_\downarrow$, then $r := s \cap t$ is an element of T that constitutes the meet of s and t . Find a large enough k such that $r \subseteq B_s(k)$ and note that for any choice of $g \in \mathcal{F}$, $N_s(g, k+1)$ is disjoint from t_\downarrow , and hence from $N_t(f, j)$. \square

Claim 2.1.3. $X \rightarrow (\text{top}(\omega + 1))_\omega^1$.

Proof. Let $c : T \rightarrow \omega$ be a given a coloring. It suffices to find a $t \in T'$ such that $\{s \in B_T \mid c(s) = c(t)\}$ is infinite. Towards a contradiction, suppose that $\{s \in B_T \mid c(s) = c(t)\}$ is finite for every $t \in T'$. It follows that we may define a function $d : T \rightarrow \omega \times 2 \times \omega$ by recursion on the levels of T , as follows:

$$d(t) := \begin{cases} \langle c(t), 1, \max\{0, n+1 \mid \exists s \in B_t [c(s) = c(t) \ \& \ d(s) = \langle c(s), 1, n \rangle]\} \rangle, & \text{if } t \in T' \\ \langle c(t), 0, 0 \rangle, & \text{otherwise.} \end{cases}$$

Recalling that $(T, E_{\bar{A}})$ is uncountably chromatic, we may now find $\{s, t\} \in E_{\bar{A}}$ such that $d(s) = d(t)$. By possibly switching the roles of s and t , we may assume that $t \in T'$ and $s \in A_t$. As $t \in T'$, it follows that $d(t) = \langle c(t), 1, m \rangle$ for some $m < \omega$. As $d(s) = d(t)$, it follows that $c(s) = c(t)$ and $s \in T'$, and hence $s \in B_t$. But then the definition of $d(t)$ implies that the third coordinate of $d(t)$ is bigger than the corresponding one of $d(s)$. This is a contradiction. \square

Claim 2.1.4. $X \rightarrow (\text{top}(\omega^2 + 1))_1^1$.

Proof. Towards a contradiction, suppose that $\phi : \omega^2 + 1 \rightarrow X$ is a homeomorphism. For every $n < \omega$, since $\omega \cdot (n+1)$ is an accumulation point of the interval $A_n := (\omega \cdot n, \omega \cdot (n+1))$, the singleton $\{\phi(\omega \cdot (n+1))\}$ cannot be τ -open, so that the node $t_n := \phi(\omega \cdot (n+1))$ must be in T' and $\phi[A_n]$ must contain an infinite sequence converging to t_n . Likewise, $\{t_n \mid n < \omega\}$ must contain an infinite sequence converging to the node $t_\omega := \phi(\omega^2)$. Therefore, it follows that there exists a strictly increasing and continuous map $\psi : \omega^2 + 1 \rightarrow \omega^2 + 1$ such that $\phi \circ \psi$ is a strictly increasing and continuous map from $\omega^2 + 1$ to T . For notational simplicity, we assume ψ is the identity, so that $\langle t_n \mid n < \omega \rangle$ is a strictly increasing sequence of nodes in T' converging to t_ω . In particular, $t_\omega \in T \uparrow (E_\omega^\kappa \cap \text{acc}^+(E_\omega^\kappa))$.

As $\text{otp}(B_{t_\omega}) = \omega < \omega^2 = \text{otp}(\phi[\omega^2])$, we may fix a map $d : \omega \rightarrow \phi[\omega^2] \setminus B_{t_\omega}$ such that $\langle d(n) \mid n < \omega \rangle$ is a strictly increasing sequence of nodes converging to t_ω . Consequently, the following set is infinite:

$$A := \{i \in \omega \setminus \{0\} \mid (B_t(i-1), B_t(i)) \text{ has an element of } \text{Im}(d)\}.$$

It follows that for every $i \in A$, we may let

$$m_i := \max\{m < \omega \mid B_t(i-1) \subsetneq d(m) \subsetneq B_t(i)\}.$$

Define a function $g : A \rightarrow \omega$ defined via

$$g(i) := \min\{j < \omega \mid d(m_i) \subseteq a_{t,i}(j)\}.$$

Recalling that \mathcal{F} was given by Claim 2.1.1, we now pick $f \in \mathcal{F}$ such that $I := \{n \in A \mid g(n) \leq f(n)\}$ is infinite. For every $i \in I$, it is the case that

$$B_t(i-1) \subsetneq d(m_i) \subseteq a_{t,i}(g(i)) \subseteq a_{t,i}(f(i)) \subsetneq B_t(i).$$

Therefore, for every node s in the set $D := \{d(m_i) \mid i \in I\}$, there exists an $i \in I$ such that $D \cap (B_t(i-1), B_t(i)) = \{s\}$. So D is an infinite discrete subset of the compact set $\phi[\omega^2 + 1]$. This is a contradiction. \square

It now follows from [KW87, Theorem 1] that $\chi(X) \geq \mathfrak{b}$. Altogether, the space X is as sought. \square

We are now ready to prove Theorem A.

Corollary 2.2. *There exists a zero-dimensional regular space X of size continuum, of character \mathfrak{b} , satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_1^1$.*

Proof. By Theorem 2.1, it suffices to find a streamlined tree $T \subseteq {}^{<\omega_1}\omega_1$ of size continuum, and a ladder system \vec{A} over $T' := T \upharpoonright \text{acc}(\omega_1)$ such that the graph $(T, E_{\vec{A}})$ is uncountably chromatic. A tree with the same key features was constructed by D. Soukup in [Sou15, Theorem 3.5], though it was not streamlined. By abstract nonsense considerations (see [BR21, Lemma 2.5]), this does not make any difference. As the argument in [BR21] does not deal with the adjacent ladder system, we spell out the details here.

Soukup's tree is the tree $T(S) := \{x \subseteq \omega_1 \mid \text{acc}^+(x) \subseteq x \subseteq S\}$ for an arbitrary choice of a stationary and co-stationary subset S of ω_1 , ordered by the end-extension relation, \sqsubseteq . It comes equipped with a sequence $\vec{C} = \langle C_x \mid x \in T(S) \rangle$ such that C_x is either a finite subset of x_\downarrow or a cofinal subset of x_\downarrow of order-type ω . In addition, the corresponding graph $(T(S), \{\{y, x\} \mid x \in T(S), y \in C_x\})$ is uncountably chromatic.

As S is stationary, $T(S)$ contains infinite sets. As S is co-stationary, every element of $T(S)$ is countable. Altogether $|T(S)| = 2^{\aleph_0}$. As every $x \in T(S)$ is a closed countable set of countable ordinals, its corresponding collapsing map $\pi_x : \text{otp}(x) \rightarrow x$ is an element of $\bigcup_{\beta \in \text{nacc}(\omega_1)} {}^\beta\omega_1$. In addition, for every pair $x \sqsubset y$ of nodes in $T(S)$, it is the case that $\pi_x \subset \pi_y$. Thus,

- $T := \{\pi_x \upharpoonright \alpha \mid x \in T(S), \alpha < \omega_1\}$ is a streamlined tree,
- every element of $T \upharpoonright \text{acc}(\omega_1)$ admits a unique immediate successor,⁴ and
- $x \mapsto \pi_x$ forms an order-isomorphism from $(T(S), \sqsubseteq)$ to $(T \upharpoonright \text{nacc}(\omega_1), \sqsubseteq)$.

We shall now define the ladder system $\vec{A} = \langle A_t \mid t \in T' \rangle$, for $T' := T \upharpoonright \text{acc}(\omega_1)$, as follows. Given $t \in T \upharpoonright \text{acc}(\omega_1)$, let x_t denote the unique element of $T(S)$ such that π_{x_t} is the immediate successor of t . Now consider the following possibilities:

- If $|C_{x_t}| < \aleph_0$, then let A_t be an arbitrary cofinal subset of t_\downarrow of order-type ω .
- Otherwise, C_{x_t} is a cofinal subset of $(x_t)_\downarrow$ of order-type ω , and hence

$$A_t := \{\pi_y \upharpoonright \text{sup}(\text{otp}(y)) \mid y \in C_{x_t}\}$$

is a cofinal subset of t_\downarrow of order-type ω .

Claim 2.2.1. *The graph $(T, E_{\vec{A}})$ is uncountably chromatic.*

Proof. Let $c : T \rightarrow \omega$ be given, and we shall find $s \in A_t$ such that $c(s) = c(t)$.

As in the proof of Claim 2.1.3, by recursion on the levels of the tree we may construct a coloring $d : T(S) \rightarrow \omega$ satisfying the following for every $x \in T(S)$:

- (1) If C_x is finite, then $d(x)$ is an odd positive integer that does not belong to $\{d(y) \mid y \in C_x\}$;
- (2) If C_x is infinite, then $d(x) = c(\pi_x \upharpoonright \text{sup}(\text{otp}(x))) \cdot 2$.

As the graph $(T(S), \{\{y, x\} \mid x \in T(S), y \in C_x\})$ is uncountably chromatic, we now pick $x \in T(S)$ and $y \in C_x$ such that $d(y) = d(x)$. Denote:

- $t := \pi_x \upharpoonright \text{sup}(\text{otp}(x))$ and
- $s := \pi_y \upharpoonright \text{sup}(\text{otp}(y))$.

⁴Indeed, the immediate successor of a node $t \in T \upharpoonright \text{acc}(\omega_1)$ is π_x for $x := \text{Im}(t) \cup \{\text{sup}(\text{Im}(t))\}$.

As $d(x) = d(y)$, by the choice of d , C_x cannot be finite, so the only other option is that C_x is a cofinal subset of x_\downarrow of order-type ω . In particular, x_\downarrow cannot have a maximal element, and hence $\text{otp}(x) = \alpha + 1$ for some $\alpha \in \text{acc}(\omega_1)$. Therefore, π_x is an immediate successor of the above node t , so that $t \in T \upharpoonright \text{acc}(\omega_1)$ and $x_t = x$. It thus follows from the definition of A_t that $s \in A_t$.

Finally, as C_x is not finite, $d(x) = c(t) \cdot 2$. From $d(y) = d(x)$ being even, we then infer that $d(y) = c(s) \cdot 2$. Altogether, $c(s) = c(t)$, as sought. \square

This completes the proof. \square

3. FORCING HIGHLY CHROMATIC HAJNAL-MÁTÉ GRAPHS

A *Hajnal-Máté graph* is a graph of the form $G = (\kappa, E)$, where κ is a cardinal, E is a subset of $[\kappa]^2$, and for every pair $\beta < \gamma$ of ordinals from κ , $\text{otp}\{\alpha < \beta \mid \{\alpha, \gamma\} \in E\} < \text{cf}(\gamma)$. The existence of an uncountably chromatic Hajnal-Máté graph over ω_1 gives rise to a tree T and a ladder system \vec{A} satisfying the hypotheses of Theorem 2.1 by identifying ω_1 with the streamlined tree $T := {}^{<\omega_1}1$.

In this section, we highlight a class of notions of forcing that inevitably add highly chromatic Hajnal-Máté graphs.

Definition 3.1. Let $\mathbb{P} = (P, \leq)$ denote a notion of forcing, and λ denote an infinite regular cardinal.

- \mathbb{P} is ${}^\lambda\lambda$ -*bounding* iff for every $g \in {}^\lambda\lambda \cap V^{\mathbb{P}}$, there exists some $f \in {}^\lambda\lambda \cap V$ such that $g(\alpha) \leq f(\alpha)$ for all $\alpha < \lambda$;
- \mathbb{P} satisfies the λ^+ -*stationary chain condition* (λ^+ -*stationary-cc*, for short) iff for every sequence $\langle p_\delta \mid \delta < \lambda^+ \rangle$ of conditions in \mathbb{P} there are a club $D \subseteq \lambda^+$ and a regressive map $h : D \cap E_\lambda^{\lambda^+} \rightarrow \lambda^+$ such that for all $\gamma, \delta \in \text{dom}(h)$, if $h(\gamma) = h(\delta)$, then p_γ and p_δ are compatible.

Theorem 3.2. *Suppose that λ is an infinite regular cardinal, and \mathbb{P} is a λ^+ -stationary-cc notion of forcing satisfying at least one of the following:*

- (1) \mathbb{P} preserves the regularity of λ , and is not ${}^\lambda\lambda$ -bounding;
- (2) \mathbb{P} forces that $\text{cf}(\lambda) < |\lambda|$. In addition, $V \models \text{cf}(\text{NS}_\lambda, \subseteq) = \lambda^+$;
- (3) In $V^{\mathbb{P}}$, there exists a cofinal subset $\Lambda \subseteq \lambda$ such that for every function $f \in {}^\lambda\lambda \cap V$, there exists some $\xi \in \Lambda$ with $f(\xi) < \min(\Lambda \setminus (\xi + 1))$.

Write $\Delta := E_\lambda^{\lambda^+}$. Then, in $V^{\mathbb{P}}$, there exists a sequence $\langle C_\delta \mid \delta \in \Delta \rangle$ satisfying the following:

- For every $\delta \in \Delta$, C_δ is a club in δ of order-type λ ;
- For every coloring $c : \Delta \rightarrow \lambda$, there are $\gamma, \delta \in \Delta$ such that $\gamma \in C_\delta$ and $c(\gamma) = c(\delta)$.

Proof. By [BR19, Proposition 3.1], Clause (3) follows both from Clause (1) and from Clause (2), so hereafter, we shall assume Clause (3).

Work in V . For each $\delta \in \Delta$, let $\pi_\delta : \lambda \rightarrow \delta$ denote the inverse collapse of some club in δ , and let $\psi_\delta : \lambda \leftrightarrow \delta$ be some bijection.

Next, let G be \mathbb{P} -generic over V , and work in $V[G]$. By Clause (3) and the proof of [BR19, Lemma 3.2], we may fix a club $\Lambda \subseteq \lambda$ of order-type $\text{cf}(\lambda)$, such that for every function $f \in {}^\lambda\lambda \cap V$, $\sup\{\xi \in \Lambda \mid f(\xi) < \min(\Lambda \setminus (\xi + 1))\} = \lambda$.

Let $\delta \in \Delta$. Clearly, $B_\delta := \pi_\delta[\Lambda]$ is a club in δ of order-type $\text{cf}(\lambda)$. Next, let C_δ be the ordinal closure below δ of the following set

$$B_\delta \cup \bigcup \{ \psi_\delta[\alpha^+] \cap (\pi_\delta(\alpha), \pi_\delta(\alpha^+)) \mid \alpha \in \Lambda \ \& \ \alpha^+ = \min(\Lambda \setminus (\alpha + 1)) \}.$$

Note that, for every pair $\beta < \beta^+$ of successive elements of $\pi_\delta[\Lambda]$, $C_\delta \cap (\beta, \beta^+)$ is covered by the closure of $\psi_\delta[\text{otp}(\Lambda \cap \pi_\delta^{-1}(\beta^+))]$, which is a set of size less than λ . Therefore, $\text{otp}(C_\delta) \leq \lambda$.

Claim 3.2.1. *For every $\Gamma \in [\lambda^+]^{\lambda^+}$ from V , for every $\delta \in \text{acc}^+(\Gamma) \cap \Delta$, it is the case that $\text{sup}(C_\delta \cap \Gamma) = \delta$.*

Proof. Let $\Gamma \in [\lambda^+]^{\lambda^+}$ in V . Let $\delta \in \Delta \cap \text{acc}^+(\Gamma)$ and $\epsilon < \delta$; we shall find $\gamma \in \Gamma \cap C_\delta$ above ϵ . As $\delta \in \text{acc}^+(\Gamma)$, we may define a function $f_0 : \lambda \rightarrow \lambda$ via

$$f_0(\alpha) := \min\{\alpha' < \lambda \mid (\pi_\delta(\alpha), \pi_\delta(\alpha')) \cap \Gamma \neq \emptyset\}.$$

Then, we may define a function $f_1 : \lambda \rightarrow \lambda$ via:

$$f_1(\alpha) := \min\{i < \lambda \mid \psi_\delta(i) \in (\pi_\delta(\alpha), \pi_\delta(f_0(\alpha))) \cap \Gamma\}.$$

Define $f : \lambda \rightarrow \lambda$ via $f(\alpha) := \max\{f_0(\alpha), f_1(\alpha)\}$. As $\Gamma \in V$, the function f is in ${}^\lambda\lambda \cap V$, and hence $A := \{\xi \in \Lambda \mid f(\xi) < \min(\Lambda \setminus (\xi + 1))\}$ is cofinal in λ . Pick a large enough $\alpha \in A$ such that $\pi_\delta(\alpha) \geq \epsilon$. Denote $\alpha^+ := \min(\Lambda \setminus (\alpha + 1))$. Then $\alpha' := f_0(\alpha)$ and $i := f_1(\alpha)$ are both less than α^+ . So

$$\psi_\delta(i) \in \psi_\delta[\alpha^+] \cap (\pi_\delta(\alpha), \pi_\delta(\alpha^+)) \cap \Gamma,$$

meaning that $\psi_\delta(i)$ is an element of $C_\delta \cap \Gamma$ above ϵ . \square

Work in V . Suppose that p is a condition forcing that \dot{c} is a name for a function from Δ to λ . For each $\delta \in \Delta$, let p_δ be a condition extending p and deciding $\dot{c}(\delta)$ to be, say, τ_δ . Fix a club $D \subseteq \lambda^+$ and a regressive map $h : D \cap E_\lambda^{\lambda^+} \rightarrow \lambda^+$ such that for all $\gamma, \delta \in \text{dom}(h)$, if $h(\gamma) = h(\delta)$ then p_γ and p_δ are compatible.

Find $(\tau, \eta) \in \lambda \times \lambda^+$ for which

$$\Gamma := \{\delta \in \Delta \cap D \mid \tau_\delta = \tau \ \& \ h(\delta) = \eta\}$$

is stationary. As $\text{acc}^+(\Gamma)$ is a club (in V), Claim 3.2.1 provides us with a $\delta \in \Gamma$ such that $\text{sup}(C_\delta \cap \Gamma) = \delta$. Pick $\gamma \in C_\delta \cap \Gamma$. As $h(\delta) = \eta = h(\gamma)$, we may pick some q extending p_δ and p_γ . Then, q is an extension of p forcing that $\gamma, \delta \in \Delta$ and $c(\gamma) = \tau = c(\delta)$. \square

Corollary 3.3. *If λ is a measurable cardinal, then in the forcing extension by Prikry forcing using a normal measure on λ , there exists a Hajnal-Máté graph over λ^+ of chromatic number λ^+ .* \square

Corollary 3.4. *After forcing to add any number of Cohen reals, there is an uncountably chromatic Hajnal-Máté graph over ω_1 .* \square

Putting the preceding together with Theorem 2.1, we obtain Theorem B:

Corollary 3.5. *After forcing to add any number of Cohen reals, there exists a zero-dimensional regular space X of size \aleph_1 , of character \mathfrak{b} , satisfying $X \rightarrow (\text{top } \omega + 1)_\omega^1$, but not $X \rightarrow (\text{top } \omega^2 + 1)_1^1$.* \square

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